

Reconsideration of this application in view of the foregoing amendments and following remarks, and indication of the allowability of all claims pending therein are respectfully solicited.

In the Detailed Action section of the Official Action, the Examiner indicated that claims 1-22 are presented for examination. The Applicant wishes to clarify that, in fact, only claims 1-15 are presented for examination.

The Examiner has objected to the drawings as failing to comply with 37 C.F.R. 1.84(p)(5) because they do not include the various reference signs mentioned in the description, and because they do include a reference sign that is not mentioned in the description. The Applicant has submitted herewith a proposed drawing change that adds reference numeral 200 to Figure 5. The Applicant respectfully submits that this drawing change, in addition to various corrections to the Specification discussed below, bring the drawings in compliance with 37. C.F.R. 1.84(p)(5) as including all of the reference signs mentioned in the description, and only those reference signs mentioned in the description. Reconsideration and approval of the proposed drawing correction is respectfully solicited.

The Examiner has also objected to the Specification for various informalities. Specifically, item 88 is referred to in the Specification on page 12 as item 89. This typographical error has been corrected in the substitute Specification. Further, the Examiner has objected to the inclusion of reference number 78 in the Specification as it is not shown in any of the drawings. The Applicant has corrected this by deleting all references to "78" in the Specification. The Examiner has also objected as item 202 is referred to in the Specification as item 200 at page 14, line 10; page 31, line 4; and page 32, line 14. The Applicant has amended the Specification (and Figure 5 of the drawings) to correctly reference items 200 and 202. The Examiner has also objected to the Specification as not providing appropriate definitions for various acronyms. The Applicant has amended the Specification to provide definitions for these acronyms. The Examiner has also objected to the Specification as reciting "Wizard 97" and "Wizard97" and indicated that action should be taken to differentiate the use of "97" and item 97 in figure 2. The Applicant has amended the Specification to delete each instance of "97" except as to identify item 97 in Figure 2. The Examiner has also objected to the Specification for its multiple uses of square brackets throughout. The Applicant has amended the Specification to replace the square brackets with

parentheses as suggested by the Examiner. Finally, the Examiner has objected to the Specification because page 27, lines 12 and 13 repeats a sentence. The Applicant has examined the text pointed to by the Examiner, and respectfully submits that each of these two sentences is intended to be included in the Specification. Specifically, the first instance of these sentences refers to the Data Directory Selection screen, while the second instance of these sentences refers to the Assigned Accounts screen. As such, the Applicant respectfully submits that these repeated sentences are correct in their proper context, and respectfully declines to delete these sentences. Reconsideration of the Specification in view of the foregoing amendments and remarks is respectfully solicited.

The Examiner has objected to claims 10 and 12 because of a spelling error. The Applicant respectfully submits that this error has been corrected as indicated in the above-amended claims 10 and 12. Reconsideration of claims 10 and 12 in view of the foregoing amendment is respectfully solicited.

The Examiner has rejected claim 1 under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 6,282,711 to Halpern, et al. in view of U.S. Patent No. 6,046,741 to Hochmuth. The Applicant has thoroughly studied each of these references for their individual and combined teachings, and must respectfully traverse this ground of rejection. The traversal is in two parts. First, the Applicant respectfully submits that these references fail to teach each and every claimed limitation of independent claim 1. Second, in view of the vastly different problems to which each of the inventions of Halpern et al. and Hochmuth are directed, there does not appear to be any teaching or suggestion to support the proposed combination of these references. Therefore, reconsideration of this ground of rejection for these reasons as discussed more fully below and allowance of independent claim 1 are respectfully solicited.

The system of Halpern et al. '711 describes a method of software distribution that eliminates the requirement that unwanted and/or redundant data be transmitted to a client machine via the Internet if only a portion of the available software components are actually being installed thereon. That is, the system of Halpern et al. '711 provides a method for installing software from a distributed processing network such as the Internet which will allow the installation of a subset of a suite without having to download unnecessary components and data. To allow a user to select the available software components from a

suite over the Internet, the system of Halpern et al. '711 provides a user interface (UI) template that may be selected from several available templates, each of which is designed for a specific type of user (e.g. a regular user, a power user, or a system administrator). As described in this reference, "the big payoff of the invention is that extraneous program files, installation files and data files are not transmitted to the user." The system is concerned primarily with efficiency, and lists as its primary advantages permitting a user to obtain the software he wants without having to download extraneous program files, permitting users to learn about the functionality of individual software components and to select desired software components without having to download unnecessary code and/or data, or an installer agent program, increasing the demand for software products because the user pays only for what he orders and uses, etc.

The Applicant agrees with the Examiner that Halpern et al. '711 is completely devoid of any discussion of editing the display order of user interface screens. Indeed, the description of the packaging of the selected software components for download beginning at the top of column 6 is devoid of any such discussion. However, the Halpern et al. '711 reference does discuss the provision of the user interface for its Internet installer in column 8. Specifically, column 8, lines 42-43 describes that "the user interface of the Internet installer is delivered to the user entirely as remote web pages" (emphasis added). The provision of the user interface entirely as remote web pages seems to teach away from the use of a text based setup data file that may be edited by a text editor to edit the display order textual listing of the UI screens in the setup data file. Indeed, the system of Halpern et al. '711 is described as being fully automated through the use of an options manager 104, an installer set generator 109 and a packager 110. That is, as described in column 7, once the user selects desired software components from the list of available components, the option manager retrieves meta information from a component information database, the installer set generator then accesses the component pool and produces a custom set of files corresponding to the user selection and submits this custom set of files to a packager, which then appends a client installer program to the custom set of files to create a custom installation package which is compressed and sent to the client. In view of this description, the Applicant respectfully submits that Halpern et al. '711 does not simply "not mention" the use of a text-based setup data file having at least one section containing a display order textual listing of the UI

screens, the provision of a text editor, and the editing of the display order textual listing of the UI screens in the setup data file as required by independent claim 1, but actually teaches away from such requirements by providing a fully automated system that retrieves, packages, and compresses the custom set of files, and that uses a user interface that is delivered to the user entirely as remote web pages.

To overcome the deficiency of Halpern et al. '711, the Hochmuth '741 reference is cited. However, the Hochmuth '741 system merely logs user commands and command parameters in a command log so that repeating patterns of commands and command parameters may be automatically detected so that a shortcut script may be generated to execute the repeating pattern of commands and command parameters. However, the installation of a program suite is typically automated and only performed once by executing a setup data file. As such, the system of Hochmuth '741 would not detect repeated user commands and command parameters. Even if the system of Hochmuth '741 were to detect repeated commands in the installation process, such detection would not occur until after the installation process were complete, since Hochmuth '741 describes that it waits until the computer is mostly idle so that the process to automatically create a shortcut script does not slow the execution of other computer tasks. Further, while the system of Hochmuth '741 does convert each command and its parameters to text form, this text is stored in a command log file. This command log file merely includes a listing of the user commands, their parameters, and a time stamp when those commands were executed. This command log file is then examined to determine if a particular command sequence is repeated at least a threshold number of times before the system prompts a user to see if a shortcut script is desired to be created. If the requisite number of repeated command sequences is detected, that command sequence is displayed to the user in a user interface, which provides an option for the user to edit the command sequence before the shortcut script is created. The system of Hochmuth '741 allows the user to add, delete, or change the commands and parameters in the command sequence before providing the opportunity to make a shortcut script out of the modified command sequence.

In examining these two references in combination, the Applicant respectfully submits that such combination does not teach each and every required limitation of independent claim 1. As discussed above, neither reference taken alone or in combination teaches the provision

of a text-based setup data file having at least one section containing a display order textual listing of the UI screens for a suite installation and setup application. Further, neither reference taken alone or in combination teaches the editing of the display order textual listing of the UI screens in the setup data file using a text editor. While Hochmuth does provide for the editing of a textual representation of user commands, these commands are only provided for editing if the system of Hochmuth detects that they have been repeated a threshold number of times such that the creation of a shortcut script can simplify a programming task. Since the installation of a suite typically only occurs once on a client machine, it is unlikely that any such repetition of commands will be detected by the system of Hochmuth '741. This is particularly true since the system of Halpern '711 is fully automated. Further, such detection and display for editing only occurs after a number of these command sequences has already taken place, and, by its very terms, will not perform this function until the computer is mostly idle so that the process to automatically create a shortcut script does not slow the execution of other computer tasks. However, since the user interface of the Internet installer of Halpern et al. '711 is provided "entirely as remote web pages" it does not appear that the desktop agent of Hochmuth '741 would be able to detect any repeated user commands that control the display order of the remote web page user interface of Halpern et al. '711. As such, the Applicant respectfully submits that these two references taken in combination fail to teach each and every required limitation of independent claim 1. As such, the Applicant respectfully submits that independent claim 1 is patentable over these references taken alone or in combination. Reconsideration of this ground of rejection in view of these remarks is therefore respectfully solicited.

Additionally, the Applicant respectfully submits that there is no suggestion or motivation to combine the system of Halpern et al. '711 with the desktop agent of Hochmuth '741. First, the Applicant notes that the problem addressed by the Halpern et al. '711 system is the inefficiency that is currently required in online software distribution when a user only actually desires that certain components of a software suite be installed. As discussed above, Halpern et al. '711 provides a method of software distribution that eliminates the requirement that unwanted and/or redundant data be transmitted to a client machine if only a portion of the available software components from a suite are to be installed. Once the user has selected the desired components, the system automatically packages, compresses, delivers and installs

those components on a remote client machine. This installation is only required to be performed one time. The system of Hochmuth '741 is directed to a desktop agent that runs on the client machine. This desktop agent provides an automated mechanism to generate shortcut scripts to simplify the programming process once it has detected that a user has input a particular sequence of user commands a predetermined number of times. While the threshold number of repetitions may be varied, the fact that a command sequence must be "repeated" inherently requires that at least two identical user command sequences be input before a pattern of repetition may be detected.

Further, the problem addressed by the desktop agent of Hochmuth '741 is the amount of time required for a user to wait between the completion of a user command before the next action may be started. Additionally, Hochmuth '741 is concerned with the possibility of repetitive stress injuries that may result from the continual repetitions of mouse movements and key clicks that are required to enter each of these repetitive user commands. However, neither of these two problems are evident in the system of Halpern et al. '711 since it is a fully automated system that does not require repetitive user interaction once the desired software components are selected. Therefore, the Applicant respectfully submits that one skilled in the art, when provided with the fully automated system of Halpern et al. '711 that configures a custom suite and automatically installs same on a client machine, would not have any motivation to look to Hochmuth '741, which provides a mechanism to simplify the entry of repetitive user commands in a visual programming environment, when such repetitive commands are not a feature of the remote installation system of Halpern et al. '711. Since each of these references are directed at wholly divergent problems (i.e. custom suite configuration and installation via the Internet versus preventing repetitive stress injuries caused by entering repetitive command sequences), the Applicant respectfully submits that there is no suggestion or motivation for one of ordinary skill in the art to attempt to combine the teachings of these two references.

While the Applicant understands the Examiner is citing the Hochmuth '741 reference merely to teach that it is known that textual commands may be edited, the teachings of these references must be taken in their entirety. That is, Hochmuth '741 only presents those commands which have been detected to have been repeated in sequence a threshold number of times. Since the installation of a software suite typically only occurs once, and using the

system of Halpern et al. '711 is fully automated, the Applicant is unclear as to how such a proposed modified system would actually work. Further, the user interface during the installation process is provided entirely as remote web pages over which the user has no control. That is, since the automated system of Halpern et al. '711 determines the UI web pages to display, there are no repeated commands entered by the user for which a shortcut script could be created. As such, the Applicant respectfully submits that independent claim 1 is in condition for allowance. Reconsideration of this ground of rejection in view of the foregoing comments is respectfully solicited.

The Examiner has rejected claims 2-15 under 35 U.S.C. § 103(a) as being unpatentable over Halpern et al. '711 in view of Hochmuth '741, and further in view of U.S. Patent No. 6,282,699 to Zhang et al. The Applicant has fully considered each of these references alone and in combination, and must respectfully traverse this ground of rejection. Reconsideration of this ground of rejection and indication of the allowability of claims 2-15 in view of the foregoing and following remarks are respectfully solicited.

The Applicant wishes to reiterate the above remarks with regard to the proposed combination of Halpern et al. '711 with Hochmuth '741. That is, the Applicant respectfully submits that there is no teaching or suggestion to support a combination of Halpern et al. '711 with Hochmuth '741. Further, with regard to dependent claims 2-8, the Applicant respectfully submits that this combination of references fails to teach the limitations of independent claim 1 from which these claims depend, and these claims would therefore be in condition for allowance. Further, with regard to claims 2-15, the Applicant respectfully submits that the combination of these three references fails to teach each and every claim limitation added or modified therein. Further, the Applicant respectfully submits that there is no suggestion or motivation for one of ordinary skill in the art to combine the teachings of these three divergent references. Therefore, the Applicant respectfully requests reconsideration of claims 2-5 in view of the foregoing and following remarks.

Each of the systems of Halpern et al. '711 and Hochmuth '741 were discussed above. The Zhang et al. '699 reference teaches a method for creating a graphical program operable to invoke execution of textual code. The system of Zhang et al. '699 allows a user to select a "code node" for inclusion in the graphical program. The textual code may be manually entered into the code node or imported from a textual code file. Such a system enables a user

of a graphical programming system to more easily incorporate, view, edit, and debug textual-based code from within the graphical programming system. However, the remote software suite installation system of Halpern et al. '711, which the teachings of Hochmuth '741 and Zhang et al. '699 are supposed to modify, is not a graphical programming system that requires or even allows a user to incorporate, view, edit, or debug textual-based code. As such, the Applicant is unclear what the system of Zhang et al. '699 is supposed to modify in Halpern et al.

As discussed above, once a user selects which software components it wishes to have downloaded from the Internet and installed on the client machine, the automated system automatically packages the appropriate components for the selected elements, packages them into a custom downloadable suite, compresses and downloads the custom suite to a client machine and installs the components thereon. The system of Halpern et al. '711 is fully automated other than requiring the user to select which software components he wishes to download and install. No programming knowledge is required on the part of the user, and therefore no textual code is needed to be incorporated from the user, or viewed, edited, or debugged by the user using some form of graphical programming system. The system of Halpern et al. '711 is concerned with the efficient download and installation of a custom suite of software components by a user at a remote location via the Internet, and is wholly devoid of any discussion or incorporation of a graphical programming system through which a user generates, modifies, or edits textual-based code. Therefore, the Applicant respectfully submits that there is no suggestion or motivation for one of ordinary skill in the art presented with the system of Halpern et al. '711 to modify that system with the teachings of Hochmuth '741 or Zhang et al. '699. Further, the Applicant respectfully submits that there is no likelihood of success from such a combination as the various elements taught in each of these references are directed at wholly different systems to solve completely different problems, none of which are present in the system of the present invention.

In addition to the above, the Applicant respectfully submits that the combination of these three references does not teach each and every limitation of these claims. With regard to dependent claims 2-8, none of the references cited in this rejection teach the provision of a text-based setup data file having at least one section containing a display order textual listing of the UI screens. Zhang et al. '699 does not overcome the deficiency of the combination of



Halpern et al. '711 and Hochmuth '741. Further, these references taken in combination do not teach that the display order textual listing includes a plurality of individual UI screen identifiers as required by claims 2-5. Further, while Zhang does speak of dynamic link libraries and executable instructions, there is no teaching in this or any of the other cited references of providing a dynamic link library defining a UI screen (claim 6) or providing and executable file defining a UI screen (claim 7). Further, none of the references cited in the rejection provide a suite installation program having UI screen templates provided therein as required by claim 8.

Independent claim 9 requires that a text-based setup database file include a display order textual listing identifying specific user interface screens to be displayed during installation of components bundled in a suite. However, none of the references, including the Halpern et al. '711 reference that teaches an installation program, includes teaching of such a limitation.

Independent claim 12 requires the acquisition of a textual listing of user interface screens for each of a plurality of applications in the suite that are to be installed, the acquisition of the user interface screens identified by the textual listing, and the display of the user interface screens identified by the textual listing for each of the applications in the suite that are to be installed. However, as discussed above at length, none of the references cited in the rejection teach these limitations. Specifically, the user interface displayed during the remote download and installation via the Internet of a custom suite via the system of Halpern et al. '711 is provided "entirely as remote web pages" (Halpern et al. '711, column 8, lines 42-43). Neither of the other two references teach or suggest that the user interface screens for each of the plurality of applications in a suite that are to be installed may be identified in a textual listing. Further, the rejection does not indicate how the system of Halpern et al. '711, which clearly teaches that the user interface screens are provided "entirely as remote web pages," could be modified to provide such a textual listing. As such, the Applicant respectfully submits that independent claim 12 is also in condition for allowance.

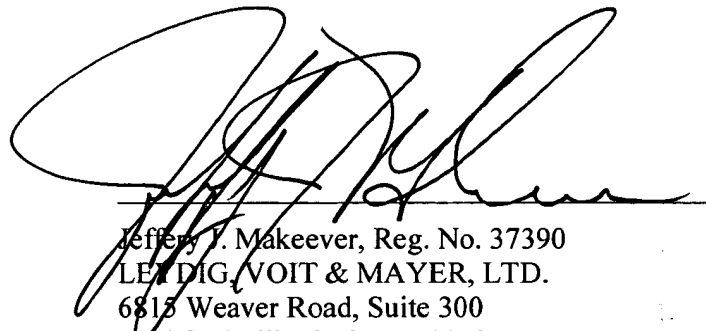
In re Appln. of Zimniewicz  
Application No. 09/557,143

#### CONCLUSION

In view of the above the Applicant respectfully submits that claims 1-15 are in condition for allowance. Reconsideration of the rejection of claims 1-15, the objection to the drawings, and the objection to the Specification in view of the foregoing amendments and remarks are therefore respectfully solicited.

If the Examiner believes that a telephonic conversation will aid in the resolution of any issues not resolved herein, the Examiner is invited to contact the Applicant's attorney at the telephone number listed below.

Respectfully submitted,

A large, stylized handwritten signature in black ink, likely belonging to Jeffrey J. Makeever, is written over a horizontal line.

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Date: January 23, 2003

In re Appln. of Zimniewicz  
Application No. 09/557,143

CERTIFICATE OF MAILING

I hereby certify that this RESPONSE TO OFFICE ACTION (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date:

January 23, 2003

Linda Sorenson

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jeff Zimniewicz

Art Unit: 2122

Application No. 09/557,143

Examiner: Mary J. Steelman

Filed: April 25, 2000

For: SYSTEM AND METHOD FO PROVIDING  
REPLACEABLE AND EXTENSIBLE USER  
INTERFACE FOR THE INSTALLATION OF  
A SUITE OF APPLICATIONS

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**JAN 31 2003**

**AMENDMENTS TO CLAIMS**  
**MADE IN RESPONSE TO OFFICE ACTION DATED OCTOBER 23, 2002**

Technology Center 2100

Amendments to existing claims:

10. The computer-readable medium of claim 9, further including at least one dynamic link library (dll) defining a UI screen, and wherein said display order textual listing contains a ~~textural~~textual reference to said dll.

12. A computer-readable medium having stored thereon computer-executable instructions to perform the steps of:

acquiring a ~~textural~~textual listing of user interface screens for each of a plurality of applications in a suite that are to be installed;

acquiring the user interface screens identified by the ~~textural~~textual listing; and  
displaying the user interface screens identified by the ~~textural~~textual listing for each of the applications in the suite that are to be installed.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jeff Zimmiewicz

Art Unit: 2122

Application No. 09/557,143

Examiner: Mary J. Steelman

Filed: April 25, 2000

For: SYSTEM AND METHOD OF PROVIDING  
REPLACEABLE AND EXTENSIBLE USER  
INTERFACE FOR THE INSTALLATION OF  
A SUITE OF APPLICATIONS

**PENDING CLAIMS AFTER AMENDMENTS  
MADE IN RESPONSE TO OFFICE ACTION DATED OCTOBER 23, 2002**

1. A method of modifying a display order of user interface (UI) screens for a suite installation and setup application, comprising the steps of:

providing a text based setup data file having at least one section containing a display order textual listing of the UI screens;  
providing a text editor; and  
editing the display order textual listing of the UI screens in the setup data file using the text editor.

2. The method of claim 1, wherein the display order textual listing includes a plurality of individual UI screen identifiers, and wherein said step of editing comprises the step of deleting at least one of the plurality of individual UI screen identifiers.

3. The method of claim 1, wherein the display order textual listing includes a plurality of individual UI screen identifiers listed in a first order, and wherein said step of

editing comprises the step of reordering the individual UI screen identifiers to a second order.

4. The method of claim 1, wherein the display order textual listing includes a plurality of individual UI screen identifiers, and wherein said step of editing comprises the step of adding a new UI screen identifier.

5. The method of claim 1, wherein the display order textual listing includes a plurality of individual UI screen identifiers, and wherein said step of editing comprises the step of replacing the plurality of individual UI screen identifiers with a new textual listing of screen identifiers.

6. The method of claim 1, further comprising the step of providing a dynamic link library (dll) defining a UI screen, and wherein said step of editing comprises the step of inserting a textual reference to the dll in the display order textual listing.

7. The method of claim 1, further comprising the step of providing an executable (EXE) file defining a UI screen, and wherein said step of editing comprises the step of inserting a textual reference to the EXE file in the display order textual listing.

8. The method of claim 1, further comprising the steps of providing a suite installation program having UI screen templates provided therein, wherein the display order textual listing includes a plurality of individual UI screen identifiers, and wherein at least one of said individual UI screen identifiers relate to one of said UI screen templates.

9. A computer-readable medium having stored thereon computer-executable components comprising a plurality of components bundled in a suite, and a text based setup database file, said setup database file including a display order textual listing identifying specific user interface (UI) screens to be displayed during installation of said components.

10. (Once Amended) The computer-readable medium of claim 9, further including at least one dynamic link library (dll) defining a UI screen, and wherein said display order textual listing contains a textual reference to said dll.

11. The computer-readable medium of claim 9, further including at least one executable (EXE) file defining a UI screen, and wherein said display order textual listing contains a textual reference to said EXE file.

12. (Once Amended) A computer-readable medium having stored thereon computer-executable instructions to perform the steps of:

acquiring a textual listing of user interface screens for each of a plurality of applications in a suite that are to be installed;

acquiring the user interface screens identified by the textual listing; and

displaying the user interface screens identified by the textual listing for each of the applications in the suite that are to be installed.

13. The computer-readable medium of claim 12, wherein said step of acquiring the user interface screens comprises the step of acquiring user interface screen templates provided by an installation application.

14. The computer-readable medium of claim 12, wherein said step of acquiring the user interface screens comprises the step of acquiring at least one user interface screen dynamic link library (dll) defining at least one user interface screen.

15. The computer-readable medium of claim 12, wherein said step of acquiring the user interface screens comprises the step of acquiring at least one user interface screen executable file (EXE) defining at least one user interface screen.



2122

PATENT

Attorney Docket No. 203991  
Date: January 23, 2003

In re Application of: Jeff Zimniewicz  
Application No.: 09/557,143  
Filed: April 25, 2000

For: SYSTEM AND METHOD OF PROVIDING REPLACEABLE AND EXTENSIBLE USER  
INTERFACE FOR THE INSTALLATION OF A SUITE OF APPLICATIONS

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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Sir:

Transmitted herewith is a response to an office action in the subject application.

☐ Applicants claim small entity status of this application under 37 CFR 1.27.

Petition For Extension Of Time

- ☐ Applicants petition for a extension of time under 37 CFR 1.136, the fee for which is \$0.00.  
☒ Applicants believe that no petition for an extension of time is necessary. However, to the extent that such petition is deemed necessary, Applicants hereby petition for a sufficient extension of time to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.

☐ No additional claim fee is required.

☐ Other:

The claim fee has been calculated as shown below:

|                          |                                      |       |                                    |                      | SMALL ENTITY |                  | OTHER THAN A SMALL ENTITY |                  |
|--------------------------|--------------------------------------|-------|------------------------------------|----------------------|--------------|------------------|---------------------------|------------------|
|                          | CLAIMS REMAINING AFTER AMENDMENT     |       | HIGHEST NUMBER PREVIOUSLY PAID FOR | EXTRA CLAIMS PRESENT | RATE         | ADDIT. CLAIM FEE | RATE                      | ADDIT. CLAIM FEE |
| TOTAL                    | 15                                   | MINUS | 15                                 | =0                   | x 9=         | \$               | x 18=                     | \$0              |
| INDEPENDENT              | 3                                    | MINUS | 3                                  | =0                   | x 42=        | \$               | x 84=                     | \$0              |
| <input type="checkbox"/> | FIRST PRESENTATION OF MULTIPLE CLAIM |       |                                    |                      | + 140=       | \$               | + 280=                    | \$               |
|                          |                                      |       |                                    |                      | TOTAL        | \$               | TOTAL                     | \$0              |

☐ Please charge my Deposit Account No. 12-1216 in the amount of \$ . A duplicate copy of this sheet is attached.

☐ A check in the amount of \$ is attached.

☒ The Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is attached.

- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

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By:

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**PATENT**  
Attorney Docket No. 203991  
Client Reference No. 136651.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jeff Zimmiewicz

Art Unit: 2122

Application No. 09/557,143

Examiner: Mary J. Steelman

Filed: April 25, 2000

For: SYSTEM AND METHOD OF PROVIDING  
REPLACEABLE AND EXTENSIBLE USER  
INTERFACE FOR THE INSTALLATION OF A  
SUITE OF APPLICATIONS

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**REPLACEMENT SPECIFICATION**

**SYSTEM AND METHOD OF PROVIDING REPLACEABLE  
AND EXTENSIBLE USER INTERFACE FOR THE  
INSTALLATION OF A SUITE OF APPLICATIONS**

**TECHNICAL FIELD**

**[0001]** This invention relates generally to systems and methods for installing application program suites and, more particularly, relates to the customization, expansion, and replacement of user interface (UI) displays for the communication and collection of information necessary to accomplish the installation of a suite of application programs.

## **BACKGROUND OF THE INVENTION**

[0002] With the continued growth and specialization of various software applications for both business and residential users, software original equipment manufacturers (OEMs) and secondary value added providers (VAPs) have begun selectively combining these applications into suites. The selection of the particular applications to include in a suite is made based on certain synergies desired to be achieved for a particular customer or group of customers. In this way a business customer, for example, may purchase a single suite that provides all of the applications to allow complete productivity for all of the functions that are normally performed in the business environment. This selection and grouping process by the OEMs and/or the VAPs greatly simplifies the decision process at the user level, and typically allows for a common user experience across applications since typically all applications will be from a particular OEM.

[0003] Another advantage provided by a suite of applications exists at the system administration level. Unlike the requirement of having to separately install and setup each individual application, entering the same user information over and over for each application, the installation of a suite is much more integrated. Most suites employ an installation wizard of some sort that installs and sets up all of the applications within the suite at one time. While this presents a distinct advantage over the individual application installation and setup, the structure of modern suites present problems for current installation processes.

[0004] A custom user interface (UI) is used in almost all current setup applications that are used in the market. The most common model for a setup UI at this time is the setup "wizard." A wizard is a sequence of pages that help a user complete a set of tasks

by establishing the flow of the setup in a single-page sequence, accessible only by the “Back” and “Next” buttons. While the typical configuration of the wizard provides the basis for an installation, it does not meet the needs of every application that exists.

**[0005]** A typical sequence of pages for a wizard is to first display a Welcome Page, followed by a page displaying the End User License Agreement (EULA). Next, the wizard typically begins to collect information from the user by displaying a Name/Organization page. To enable the installation configuration, the wizard next typically displays a Selection of Install Type (Minimal/Typical/Custom or Client/Server) page to enable the user to decide what type of installation he or she desires. This selection process may be furthered by the display of a Selection of Install Components/Features screen. Depending on the particular components selected for install, the wizard may need to display a screen asking specific questions regarding the selection components. Once the required information has been gathered and the installation initiated, the wizard typically displays a Progress of Installation screen to keep the user apprised of the installation progress. Finally, the wizard displays a Finish screen once the installation process has been completed.

**[0006]** Some setup applications allow a setup owner to modify templated pages, while others allow outright replacing of some specific pages. However, the component selection and specific component related pages are hard to override due to the complex nature of how these concepts are handled in other setup technologies. Further, other setup applications require several steps to setup the UI within an application, and in some cases require copying all of the UI code from one suite into another. This results in poor portability of customized setup, and increases the time required and chance of error in the

process. If the re-ordering of the pages were desired, prior systems required that a significant amount of code would either have to be changed or rewritten to work with the new ordering of pages. Also a lot of testing would be needed to make sure that no code was relying on another execution path being run before. Further, since the core execution was so tightly tied in with the UI, complete replacement of the UI would require a major code change and could not conceivably be done.

[0007] There, therefore, exists a need in the art to overcome these and other problems existing with the current state of suite installation programs.

### **SUMMARY OF THE INVENTION**

[0008] The inventive concepts of the instant invention relate to setup and installation applications, and more particularly to setup "wizards" for application program suites. Specifically, the concepts disclosed herein extend the generic functionality of a wizard by allowing what used to be a static set of user interface (UI) pages to be modified and arranged at any given time in a very easy to understand way. The invention addresses various problems existing with current setup toolkits, and provides the functionality to completely rewrite the custom UI model (replace). The system of the invention also allows a user to extend the existing/sample UI model to meet specific needs, such as adding custom pages. Further, the invention allows a user to rearrange the existing/sample UI without modifying any code merely by editing or modifying a text file. Finally, the system of the invention allows a user to easily reuse existing pages from a different Suite Integration Toolkit (SIT) suite, and allows the portability of these pages for use with other wizards.

[0009] The system and method of the instant invention overcomes the above described and other problems existing in the art by using a common structure of a wizard page that is returned from a procedure exported from a dynamic link library (DLL) or executable (EXE) file. In this way, component writers are able to write their UI in a separate DLL or executable (EXE) file for their component. This improves portability of the UI as the writers are now able to take this file and copy it from version to version without needing to rewrite or port the code in any way. Also, the ability to abstract a piece of UI in the model that SIT uses allows that UI to be reused in any SIT suite by simply specifying file names when appropriate.

[0010] This system addresses the ability to change the order and/or replace the entire UI in two ways. With regard to the changing of the order of the UI, this order is dictated in plain text in the setup database (setup.sdb) file. In this way, the order may be changed by simply using a text editor to rearrange the order, add to or delete items from the list specified therein. With regard to the wholesale replacement of the UI, the entire UI engine of the instant invention is preferably implemented as a component object model (COM) interface (ISetupUI). This interface includes a simple set of methods that can be implemented in any fashion/UI model.

[0011] Additional features and advantages of the invention will be made apparent from the following detailed description of illustrative embodiments that proceeds with reference to the accompanying figures.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**



In re Application of: Jeff Zimniewicz  
 Application No.: 09/557,143  
 Filed: April 25, 2000

For: **SYSTEM AND METHOD OF PROVIDING REPLACEABLE AND EXTENSIBLE USER INTERFACE FOR THE INSTALLATION OF A SUITE OF APPLICATIONS**

**COMMISSIONER FOR PATENTS**  
 Washington, D.C. 20231

Sir:

Transmitted herewith is a response to an office action in the subject application.

☐ Applicants claim small entity status of this application under 37 CFR 1.27.

**Petition For Extension Of Time**

- ☐ Applicants petition for a extension of time under 37 CFR 1.136, the fee for which is \$0.00.  
☒ Applicants believe that no petition for an extension of time is necessary. However, to the extent that such petition is deemed necessary, Applicants hereby petition for a sufficient extension of time to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.

☐ No additional claim fee is required.

☐ Other:

The claim fee has been calculated as shown below:

|                                  |                                      |       |                                    |                      | SMALL ENTITY |                  | OTHER THAN A SMALL ENTITY |                  |
|----------------------------------|--------------------------------------|-------|------------------------------------|----------------------|--------------|------------------|---------------------------|------------------|
| CLAIMS REMAINING AFTER AMENDMENT |                                      |       | HIGHEST NUMBER PREVIOUSLY PAID FOR | EXTRA CLAIMS PRESENT | RATE         | ADDIT. CLAIM FEE | RATE                      | ADDIT. CLAIM FEE |
| TOTAL                            | 15                                   | MINUS | 15                                 | =0                   | x 9=         | \$               | x 18=                     | \$0              |
| INDEPENDENT                      | 3                                    | MINUS | 3                                  | =0                   | x 42=        | \$               | x 84=                     | \$0              |
| <input type="checkbox"/>         | FIRST PRESENTATION OF MULTIPLE CLAIM |       |                                    |                      | + 140=       | \$               | + 280=                    | \$               |
|                                  |                                      |       |                                    |                      | TOTAL        | \$               | TOTAL                     | \$0              |

☐ Please charge my Deposit Account No. 12-1216 in the amount of \$ . A duplicate copy of this sheet is attached.

☐ A check in the amount of \$ is attached.

☒ The Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is attached.

- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

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[0012] While the appended claims set forth the features of the present invention with particularity, the invention, together with its objects and advantages, may be best understood from the following detailed description taken in conjunction with the accompanying drawings of which:

[0013] Figure 1 is a block diagram generally illustrating an exemplary computer system on which the present invention resides;

[0014] Figure 2 is a simplified architectural block diagram of an embodiment of the Suite Integration Toolkit of the instant invention;

[0015] Figure 3 is a simplified process flow diagram illustrating the setup management in accordance with a method of the instant invention;

[0016] Figures 4a-c are simplified process flow diagrams illustrating the basic flow of a suite setup in accordance with a method of the instant invention;

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[0017] Figure 5 is an exemplary welcome screen UI constructed in accordance with the teachings of the instant invention; and

[0018] Figure 6 is a screen construction diagram illustrating exemplary constituent component of a wizard screen constructed in accordance with the teachings of the instant invention.

### **DETAILED DESCRIPTION OF THE INVENTION**

[0019] Turning to the drawings, wherein like reference numerals refer to like elements, the invention is illustrated as being implemented in a suitable computing environment. Although not required, the invention will be described in the general

context of computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Moreover, those skilled in the art will appreciate that the invention may be practiced with other computer system configurations, including hand-held devices, multi-processor systems, microprocessor based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

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[0020] With reference to Fig. 1, an exemplary system for implementing the invention includes a general purpose computing device in the form of a conventional personal computer 20, including a processing unit 21, a system memory 22, and a system bus 23 that couples various system components including the system memory to the processing unit 21. The system bus 23 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read only memory (ROM) 24 and random access memory (RAM) 25. A basic input/output system (BIOS) 26, containing the basic routines that help to transfer information between elements within the personal computer 20, such as during start-up, is stored in ROM 24. The personal computer 20 further includes a hard disk drive 27 for reading from and writing to a hard disk, not shown, a magnetic disk drive 28 for reading from or writing to a removable magnetic disk



29, and an optical disk drive 30 for reading from or writing to a removable optical disk 31 such as a CD ROM or other optical media.

[0021] The hard disk drive 27, magnetic disk drive 28, and optical disk drive 30 are connected to the system bus 23 by a hard disk drive interface 32, a magnetic disk drive interface 33, and an optical disk drive interface 34, respectively. The drives and their associated computer-readable media provide nonvolatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 20. Although the exemplary environment described herein employs a hard disk 60, a removable magnetic disk 29, and a removable optical disk 31, it will be appreciated by those skilled in the art that other types of computer readable media which can store data that is accessible by a computer, such as magnetic cassettes, flash memory cards, digital video disks, Bernoulli cartridges, random access memories, read only memories, and the like may also be used in the exemplary operating environment.

[0022] A number of program modules may be stored on the hard disk, magnetic disk 29, optical disk 31, ROM 24 or RAM 25, including an operating system 35, one or more applications programs 36, other program modules 37, and program data 38. A user may enter commands and information into the personal computer 20 through input devices such as a keyboard 40 and a pointing device 42. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 21 through a serial port interface 46 that is coupled to the system bus, but may be connected by other interfaces, such as a parallel port, game port or a universal serial bus (USB). A monitor 47 or other type of display device is also connected to the system bus 23 via an interface, such as a

video adapter 48. In addition to the monitor, personal computers typically include other peripheral output devices, not shown, such as speakers and printers.

**[0023]** The personal computer 20 may operate in a networked environment using logical connections to one or more remote computers, such as a remote computer 49. The remote computer 49 may be another personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements described above relative to the personal computer 20, although only a memory storage device 50 has been illustrated in Fig. 1. The logical connections depicted in Fig. 1 include a local area network (LAN) 51 and a wide area network (WAN) 52. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

**[0024]** When used in a LAN networking environment, the personal computer 20 is connected to the local network 51 through a network interface or adapter 53. When used in a WAN networking environment, the person computer 20 typically includes a modem 54 or other means for establishing communications over the WAN 52. The modem 54, which may be internal or external, is connected to the system bus 23 via the serial port interface 46. In a networked environment, program modules depicted relative to the personal computer 20, or portions thereof, may be stored in the remote memory storage device. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

**[0025]** In the description that follows, the invention will be described with reference to acts and symbolic representations of operations that are performed by one or more computer, unless indicated otherwise. As such, it will be understood that such acts and

operations, which are at times referred to as being computer-executed, include the manipulation by the processing unit of the computer of electrical signals representing data in a structured form. This manipulation transforms the data or maintains it at locations in the memory system of the computer, which reconfigures or otherwise alters the operation of the computer in a manner well understood by those skilled in the art. The data structures where data is maintained are physical locations of the memory that have particular properties defined by the format of the data. However, while the invention is being described in the foregoing context, it is not meant to be limiting as those of skill in the art will appreciate that various of the acts and operation described hereinafter may also be implemented in hardware.

**[0026]** In accordance with the invention, a system and method are presented that allows an application write to overcome the above-described problems when writing a custom user interface (UI) or designing a setup program around a fixed UI model. The model of the customer UI presented by the instant invention is related to the general concept or standard of wizards. That is, the instant invention extends the generic functionality of a wizard by allowing the prior, static set of installation and setup pages to be modified and arranged at any given time in a very easy to understand way that provides flexibility, extensibility, and portability to the UI. As will be described more fully below, the system of the instant invention allows an application program writer to completely rewrite a custom UI model for the installation and setup of an application program suite. Further, the system and method of the instant invention allows the write the ability to extend the existing UI model to meet the specific needs of any particular suite or application therein. The ability to rearrange the existing UI without modifying

any code is also provided through simple text file modification. Through the system of the instant invention, the writer is given the ability to easily reuse existing pages for different setup applications.

**[0027]** This system is embodied in a Suite Integration Toolkit (SIT) and utilizes a common architecture used for a setup database file (setup.sdb) to identify components having actions to be performed during each stage. SIT facilitates this functional parsing of the overall installation and setup of multiple applications in a suite by providing a generic solution to the problem of integrating multiple setups (e.g. a box containing OS, IE, Microsoft management console (MMC), server app, etc.) into a single, concise, unified setup. This setup has well defined phases during which different classes of actions may be performed. SIT complements, but does not replace, existing installer technology. SIT works as an integration layer for disparate setups but does not perform core setup tasks such as file copy, registry operations, etc., although it may be expanded to do so if desired.

**[0028]** In addition to addressing existing user interface (UI) issues as discussed above, SIT also preferably provides a flexible and extensible COM layer for applications that want to hook into setup. This allows these applications to modify the UI as will be discussed in greater detail below, launch additional processes during the particular phases of setup, or install components that have previously been downloaded to a central directory on the local machine. While significant advantages are presented when SIT is COM based, one skilled in the art will recognize that SIT may be programmed using other technology without departing from the scope or spirit of the teachings herein.

**[0029]** To gain a better appreciation of the SIT of the instant invention, attention is now directed to the simplified architectural block diagram of Figure 2. While this Figure 2 illustrates the SIT as functional blocks or objects, there is no intention to limit the scope of the invention to such blocks or objects, or to any particular technology or programming methodology. Instead, this simplified diagram is presented by way of illustration to aid in the understanding of the logical functionality of an aspect of the instant invention, and is not presented by way of limitation.

**[0030]** The SIT includes a Setup Manager 79 that drives the installation process through the setup.sdb files for the suite. CD 81 and Disk 83 Information Managers provide required information concerning file location, size, etc. for the suite CD(s) during the integration process, and for the user's system onto which the suite will be installed.

During the installation process, the Setup Manager 79 utilizes the services of a Dependency Manager 85 to ensure that the required dependencies of the application programs within a suite are met. This ensures that the installation may proceed through each phase without error, and so that the application programs may run on the user's system once installed. A Validation Manager 87 is also used by the Setup Manager 79 to verify that required system components needed by the suite are met by the user's system for much the same reasons as for the Dependency Manager 85. If an error is determined during either of these checks, it is logged by an Event and Error Logger 88.

**[0031]** During the installation process, the Setup Manager 79 also employs the services of an UI Manager 91 to display the proper information to the user. This UI Manager 91 may utilize a Template Manager 93 if it wants to display standardized pages provided with the SIT. The UI Manager 91 may also display any customized pages

identified in the setup.sdb file during the installation and setup of the suite. The construction, ordering, and insertion of these customized pages will be discussed more fully below.

**[0032]** During this installation and setup, the SIT may utilize a Scenario Factory 95 to install only selected application programs or components from the suite as selected by a user or as pre-configured by the suite owner. The Change Folder 97 object is used to select the destination directories for applications. SIT may also employ an Account Manager 99 if required during the installation and setup process. The SIT also includes a Detection Object 101.

**[0033]** While not specifically illustrated in Figure 2, SIT may include an intermediate layer (dll) that will enable integration of an application program which relies on a different installation and setup technology (e.g., Install Shield) into the suite. This intermediate layer will wrap the application's installation technology and interface with SIT. During installation and setup, SIT will command the component or application to install, and the dll will launch the application's installation technology.

**[0034]** The installation and setup process of the instant invention is seamless from a user's perspective. The SIT determines all of the information requirements for each of the application programs to be installed. SIT then takes the superset of this information and builds the information queries for display to the user to collect the required information. This information is then distributed to each application that requires it, i.e. all applications have access to the collected information. In this way, a given piece of information is collected from a user only one time. If, however, a particular application does not accept that information or otherwise requires different or contrary data for the

same piece of information, SIT generates an additional information screen, the information from which will be delivered only to the application that required the different data.

**[0035]** The installation process is actually divided into two primary stages: baseline and install. Baseline may include both a "suite" baseline and a "scenario" baseline, or the scenario baseline can be rolled into the suite baseline if desired. The install stage of the instant invention is divided into pre-install, install, and post-install actions on a component level. The Setup Manager 79 and UI Manager 91, two of the top-level objects of SIT, handle integration of the component installations and the UI. The Dependency Manager 85 provides dependency checking and install order calculation and verification across all selected components as mentioned above. Suite owners who want to make use of a pre-defined set of SIT UI templates can use the Template Manager 93 to load a Wizard page, passing in the required information for that template. Alternately, suite owners who require custom UI can write their own dialogs that are hosted in a wizard frame (header w/title, description and small graphic and footer with navigation buttons) as will be described in greater detail below with regard to Figure 6. In both cases, components and/or scenarios handle validation and error reporting for any user input.

**[0036]** A baseline is a requirement determined by the suite owner. It concerns what OS/applications must be on a user's machine before installation of the suite can begin. Suite baseline denotes the actions necessary to get the machine to the required state for the installation of an application or suite. This may include installation of a required OS, any service packs (SPs), hot fixes, or additional applications necessary for the component or suite to install. Baseline install actions may or may not be visible to the user (i.e.

install hot fixes as hidden components). By default, the UI Manager 91 displays start up screens to the user during this stage, including Welcome, Name/Organization, Password, product identification (PID), and end user license agreement (EULA). An exemplary welcome screen 200 is illustrated in Figure 5. All startup screens can be shown/hidden as desired via a (Startup Sequence) section of the setup data file (setup.sdb).

**[0037]** Each component must provide information on whether it or any of its sub components are suite baseline components. If the suite baseline is not met for a component, any requirements beyond the suite baseline are considered part of the scenario baseline and are displayed to the user on the Scenario Baseline page. If the user chooses not to install the scenario baseline components, Setup Manager 79 prevents the installation of the affected component/sub components. If the setup is unattended (hence the user does not know if the suite baseline has not been met), baseline components are installed silently. If this is not possible (i.e. due to baseline component install restrictions or baseline component is not available), then a message is written out to the error log indicating why the install cannot proceed. If the setup is attended and the Welcome page has been turned off in the setup data file, the Welcome page will still appear if the suite baseline has not been met. If the suite is checking for but not installing baseline components, and the check indicates that the suite baseline has not been met, the user will not be allowed to click on Next in the Welcome screen.

**[0038]** Suite baseline components may have dependent components. If these dependent components have not been marked as suite baseline components, SIT sets them as baseline components and tries to install them. If SIT cannot install the dependent components (and hence the suite baseline component), SIT informs the user, logs the



error, terminates installation of the component which has a missing dependency, and continues with the rest of the installation. This is similar to the “debug and release” methodology. In this way, the entire suite installation is not adversely affected by one failed component.

**[0039]** As an example of this dependency requirement and the resultant accommodations that SIT makes, consider a suite with Program A, Program B, and Program C included therein. Now assume that Program B is dependent on Program C, i.e. Program B needs Program C for proper operation. SIT will detect this dependency and will install Program C before Program B, even if this requires that the install order in setup.sdb be changed. If, for some reason, Program C cannot be successfully installed, or if Program C is simply not included on the suite CD, SIT will inform the user that a required dependency is missing, skip the installation of Program B, and continue with any other installations remaining for the suite.

**[0040]** As discussed above, a similar problem exists with regard to different versions of the same component required by different application programs. To illustrate, assume Program B requires Component version 1.0, and Program C requires Component version 2.5. Installation of Program C will result in Component version 2.5 being installed on the user’s system first (since the dependency requirement between applications must be met as described above). The later installation of Program B would in the past result in the installation of Component 1.0, overwriting Component 2.5. After Program B was installed, prior installation technologies would need to go back and install Component 2.5 for a second time to overwrite the older version that overwrote it. This problem has been overcome with the instant invention. Specifically, SIT utilizes a concept called “shared

components” to recognize this situation and install only the latest version of the Component needed by various applications. This greatly reduces the overhead of the installation process as each Component is installed only once.

**[0041]** SIT also supports a scenario baseline. In instances where a user selects a scenario from a Scenario Selection page that has a higher baseline than the entire suite (e.g. when a third-party adds a scenario and does not update the suite baseline), SIT first checks the scenario baseline for the selected scenario. Next, SIT informs the user if scenario baseline has not been met. By default, SIT provides the Scenario Baseline page that displays what components need to be on the user's machine and what components are currently installed, similar to the Welcome page used for communicating suite baseline requirements. The user can click Next to install the scenario baseline components and continue with the installation, click Cancel to exit setup, or click Back to select a different scenario. Finally, SIT installs the scenario baseline components if they are available.

**[0042]** With this basic understanding of installation process now in hand, attention is now directed to Figure 3 for a more detailed explanation of the integration and installation process driven by the Setup Manager 79 of SIT. Once the Setup Manager has been initiated 82, it then acquires from the setup.sdb file information concerning where the component bits are located on the suite CDs (the CD layout) 84. It then loads 86 the setup data file (setup.sdb) that contains general installation information, including scenarios, display order of components, list of startup and finish screens, etc. The UI Manager 91 of SIT is then called 88 to display the suite installation startup screens from which the UI Manager 91 gathers and validates user input. The construction, customization, ordering, etc. will be described in greater detail below. The Setup

Manager then installs 90 required baseline components, and calls 92 the UI Manager 91 to display progress information during baseline install (preferably, progress will be displayed during silent baseline as well). The UI Manager 91 is then called 94 to retrieve and display scenario information from components and/or from the (Scenarios) section in data file as discussed above.

**[0043]** Then, the Dependency Manager is called 96 to perform dependency checking among the components that user has selected. This dependency checking will be described more fully below. If any conflicts are detected 98, the UI Manager 91 is called 100 to display a Dependency UI screen. The dependency UI will display what the perceived conflicts are and will allow the user to cancel the request which caused the problem, or to have SIT automatically set the Actions of the required components to a state which resolves the issue. Scenario data files provide a simple hook into setup for third-party users of the Setup Manager. The Setup Manager also installs 102, when necessary, components needed to achieve the scenario baseline if it differs from the suite baseline. This may occur, e.g., when a third party modifies a scenario data file, but neglects to modify the setup data file. As a result, the scenario baseline is no longer a subset of the suite baseline and requires the installation of additional components. The Setup Manager also launches pre-install 104, install 106, and post-install 108 loops and sends messages to components/sub components to perform actions during these installation stages before the process terminates 110.

**[0044]** Third-party calls into this setup process are also supported in the system of the instant invention. If desired, these third parties can hook in as the setup data file is loaded. As an example, the data file shipped with a suite can be modified and shipped on

a third party supplied CD that is loaded first in the installation process. In this case, the Setup Manager will load the updated data file containing third-party product information as part of the startup. Alternatively, third parties can hook in after the suite baseline has been achieved by replacing/rewriting the scenario data file.

**[0045]** Turning now to Figures 4a-c, the basic flow of a suite setup is illustrated in greater detail. Once started 112, the setup.sdb file is loaded 114 as discussed above. If the setup data file is missing or cannot be loaded, a message box appears and informs the user of the problem. The suite installation requirements dynamic load libraries (dll) are then loaded 116 if specified, and a check is made 118 to determine if suite requirements have been met (i.e. RAM, processor, platform, pagefile, etc.). Insufficient suite requirements are reported to the user 122 via the Welcome screen, or an error is logged 124 and setup terminates 126 in the unattended case 120.

**[0046]** A check that the system meets the minimum requirements for the suite baseline is then performed 128. If the minimum requirements are not met, this is reported to the user 132. If the setup is unattended 134, the error is logged 136 and setup is terminated 126. Setting the custom property of all required suite baseline components can specify the suite baseline. This method is used when SIT is installing baseline components. The suite baseline can also be specified in the setup data file as indicated above. In such a case, SIT handles detection of the baseline, but the installation of baseline components is done outside of SIT.

**[0047]** Generally, suite baselines include OS, SPs, quick fix engineering or hot fixes (QFEs) (possibly as hidden components), Internet Explorer (IE), etc. The default Welcome page displays information about the user's machine and suite baseline

components required, if any. Then the remainders of default startup screens are displayed

130. Preferably, all screens are optional, and may include Name/Organization, Password, product identification (PID), end user license agreement (EULA), AutoLogon, etc.

Screens can be shown/hidden by adding/deleting the names of the screens in the (Startup Screens) section of the setup data file. A suite owner or third party can add their own UI at anytime in this sequence, or launch applications and/or processes during this sequence as will be discussed further below.

**[0048]** Next, if there are two or more scenarios 138, the scenario selection screen is displayed 140. This occurs once the suite baseline options have been satisfied and the startup screens (if specified), have been displayed. The screen displayed is the initial UI page specified in the scenario data file. On this page, users can choose 142 from a number of different pre-configured setups, or select Custom Scenario to completely customize the component or suite installation. If there is only one valid scenario available, that scenario is launched 144 without first displaying the Scenario Selection page. The scenario baseline based on the selected scenario is then checked 146. The user is informed 148 if the scenario baseline has not been met. By default, SIT provides the Scenario Baseline page that displays what components need to be on the user's machine and what components are currently installed, similar to the Welcome page used for communicating suite baseline requirements. The user can 150 click Next to install 156 the scenario baseline components and continue w/installation, click Cancel 152 to exit setup 126, or click Back 154 to select a different scenario.

**[0049]** The selected scenario may display a component selection page if users are given the option of selecting/deselecting components and sub components. The

component selection page presents the user with a tree view containing all of the components and their sub components. From this view the user may select or deselect a component and set its installation directory of any component. Users can also specify the setup action to be performed on each component or sub component (e.g. install, uninstall, add, recovery, no action). Version information and disk space requirements may also be displayed on this page. As users select and deselect the components or modify install actions on a component, full dependency checking is performed.

**[0050]** At this point in the setup, any components with additional UI requirements may present their UI pages 158. The default is to have custom UI pages for components appear in the order in which the components are listed in Component Selection page, although this may be changed as desired. Next, an installation summary is provided 160.

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All of the options and information the user has selected is displayed for final approval 162. Preferably, the user can navigate back to modify any of his or her selections from this screen.

**[0051]** Once the setup has been approved 162, the Setup Manager sends 164 pre-install message to all components and all sub components as discussed above. The components have the option of prompting the user if they want to perform the pre-install action for a component. The suite owner can also specify pre-install actions by default and suppress user prompt. The Setup Manager then sends 166 an install message to all selected components and sub components. Next, the Setup Manager sends 168 post-install message to all components and sub components. As with the pre-install, the components have the option of prompting user if they want to perform the post-install

action for a component. The suite owner can also specify post-install actions by default and suppress user prompt.

**[0052]** During this process, an installation-progress page is provided 170. As SIT loops through pre-install components, install components, and post-install components as specified in the (Pre Install Order), (Install Order), and (Post Install Order) sections of the setup data file, the description text in the header of the progress screen updates with each change in install stage. This provides an indication of where in the process SIT is. SIT also adds a link 172 in the Add/Remove section of Control Panel. The name of the link corresponds to the suite name as specified in the (Product Information) section of the setup data file. Further, any installation errors encountered during the course of installation are logged 174 to a file specified in the (Error Log) section of the setup data file. A finish page is then displayed 176, and SIT writes out an unattend file for the completed setup.

**[0053]** Each component can launch 178 post-installation wizards or scripts. The suite can also specify a suite-wide wizard or script to be run, which can also be driven via the scenario. Each component may also specify an executable to run post-reboot 180. This can also be driven via the scenario. After these actions have been taken, as desired, the process is complete 182.

**[0054]** With an understanding of the SIT of the instant invention well in hand from the foregoing description, attention is now focused on the UI Manager 91 (see Figure 2) and the system of providing, modifying, extending, replacing, and rendering portable the UI for an installation and setup system for a suite of applications in accordance with a specific aspect of the instant invention. The UI Manager 91 performs various functions in

accordance with the instant invention. It reads the setup and scenario data files (if present) for the UI to be displayed, and queries components for any additional/custom UI to be displayed. The UI Manager 91 also displays all shared and custom UI pages, and validates all user input for startup screens. This includes account names, permissions, passwords, EULA agreement, machine names, domain names, and numbers. Components are required to provide validation for all user input on custom UI screens.

**[0055]** Default pages supplied in a preferred embodiment of the invention include startup screens (displayed during the baseline stage), common/shared screens (displayed during the install stage), and finish screens (displayed during the post-install stage).

Default startup screens preferably include the following screens: Welcome; Suite Baseline; EULA; Name/Organization; PID; Name/Org/PID (combined layout); and

Autologon. Default Common/Shared screens included the following: Scenario Selection; Scenario Baseline; Component Selection; Data Directory Selection; Account Selection; UI Templates; Installation Summary; Installation Progress (standard and small). The default finish pages provided in a preferred embodiment includes an installation errors screen and a finish page.

**[0056]** Any setup using the UI Manager 91 can specify display order and show/hide for all of the startup pages, shared configuration pages and any custom UI pages. The only exception to this rule is the finish page, which will always be displayed. Otherwise, displaying UI is optional. All default pages support Hide/Show except the finish page. Display of a startup page and the order in which it is displayed is specified in the (Startup Sequence) section of the setup data file (setup.sdb). An example of the (Startup



Sequence) section of the setup.sdb file displaying the Welcome, Name/Org, Password, PID, and EULA screens is as follows:

```
(Start up Sequence)
Welcome
NameOrg
Autologon
PID
EULA
```

**[0057]** Display of a finish page and the order in which it is displayed is specified in the (Finish Sequence) section of the setup data file. In an exemplary embodiment, the (Finish Sequence) section displays the Installation Error and the Finish screens. The order of these screens cannot be modified in a preferred embodiment. An example structure is as follows:

```
(Finish Sequence)
Installation Error
Finish
```

**[0058]** In the SIT of the instant invention, various startup pages (Wizard style) are preferably provided as a default for those suites/applications that want to use them during the suite baseline stage of installation. Advantageously, any or all of these pages can be suppressed by not including them in the (Startup Sequence) section of the setup data file. This may be accomplished by simply editing the text of this file using a standard text editor. The UI Manager 91 handles validation for all of the user input on these pages. One such default screen introduced above is the Welcome screen 200 illustrated in Figure 5. It contains introductory welcome text 202 and information on required baseline component requirements that the current machine does not meet, if any.

WelcomeHeaderText and WelcomeFooterText variables from the (Product Information) section of the setup.sdb file can be used to modify the contents of this screen. A License

Agreement screen is also included. It displays the EULA specified in the (License File) section of the setup data file.

**[0059]** Both a Name/Organization and an Autologon screen are also preferably provided. This screen prompts and validates name and organization information. If either the name or organization is required for setup, then the RequireName and/or RequireOrg flags can be set in the (Product Information) section of the setup data file. PID screens introduced above are also provided in this embodiment. These screens provide default UI for the PID pages. For suites shipping components with multiple PID components, the suite must decide which PID the suite requires, whether to use hard-coded PIDs for the non-suite pieces, and what type of tracking is required and hence which PID version to use for the suite. The UI Manager 91 performs the validation. Navigation (standard template) and validation may be the same for different PID type pages. By default, the Next button is disabled until the user enters the required number of characters for a valid PID. The UI Manager 91 validates the characters entered; if valid, the Next button is enabled; if invalid, an error message appears indicating that an invalid PID was entered.

**[0060]** A Combined Name/Organization/PID screen is also preferably provided as a default. If the suite owner desires to reduce the number of screens displayed to the end-user, then this screen can be used to combine entry and validation for name, organization, PID. Finally, a preferred embodiment also includes an Autologon/Password screen. This screen allows the user to select whether setup will automatically log the user back on in the event a reboot is required. If autologon is selected then the user must supply a valid

password. Otherwise, the user will need to manually log back on whenever a reboot is performed. The UI Manager 91 performs this password validation.

**[0061]** As indicated above, the suite owner lists all startup pages to be displayed in the (Startup Sequence) section of the setup data file. If this section is empty, then no UI is displayed during this install stage. By default, the Welcome screen 200 is displayed first; no other page can appear before it. All other startup screens can appear in any order that the suite owner specifies in the setup.sdb file.

**[0062]** The common/shared pages introduced above include the Scenario Selection screen. This screen displays a list of available scenarios returned via the Scenario Factory specified in the (Scenario Factory) section of the setup data file. If there is an error with one or more of the returned scenarios, an entry is written to the error log and only the

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remaining error-free scenarios are displayed. The Scenario Baseline screen is similar to the Welcome screen in that it indicates that the baseline for the selected scenario has not been met, and lists the components that must be installed in order to continue. If user selects continue, additional components are installed to meet the scenario baseline and the installation proceeds. Otherwise, the user must cancel the installation.

**[0063]** The Component Selection screen displays all components and sub components, and the available actions for each (e.g. Install, Reinstall, Add, Remove, etc.). The available actions for each component are determined by querying the component (and its sub components). The display order for the components is specified in the (Component Order) section of the setup data file. UI relationships between the listed components are indicated by a number preceding the name of the component. For example, a number 1 indicates a top-level component, all subsequent components beneath

it are preceded with numbers greater than 1. These numbers indicate sub-components.

The next instance of a 1 in the list indicates another top-level component. A single component can appear as a sub component of more than one component, although it will preferably be installed only once. When a user deselects a component that appears more than once on the Component Selection page, it is deselected everywhere in the Component Selection page. An example of one components with 2<sup>nd</sup> and 3<sup>rd</sup> level sub components appears in the (Component Order) listing below. In this exemplary listing, the top-level component is "Internet Explorer 5 and Internet Tools.". The 2<sup>nd</sup> level components are "Internet Explorer 5" and "Communication Components." All other components are 3<sup>rd</sup> level components (sub components of the 2<sup>nd</sup> level components).

(Component Order)

- 
- 1,Internet Explorer 5 and Internet Tools
  - 2,Internet Explorer 5
  - 3,Internet Explorer 5 Web Browser
  - 3,Offline Browsing Pack
  - 3,Internet Explorer Help
  - 3,Microsoft virtual machine
  - 3,Internet Connection Wizard
  - 3,Internet Explorer Core Fonts
  - 3,Dynamic HTML Data Binding
  - 3,Internet Explorer Browsing Enhancements
  - 2,Communication Components
  - 3,NetMeeting
  - 3,Outlook Express
  - 3,Chat 2.5

**[0064]** A Dependency Violation Screen is only displayed if a user selects an action for a component that violates one or more pre-defined dependency relationships. For example, if component A depends on component B and both have a default action of Install, if the user chooses to not install B but leaves A as Install, the dependency manager will detect the conflict and display the details of the violation to the user using this

screen. The user can then opt to cancel the offending action change, or to have SIT set the Actions of the relevant components to a state that resolves the problem and returns the user to the component selection screen with the required changes enabled.

**[0065]** A Data Directory Selection screen displays data directories that can be modified for selected components and sub components. Information entered here is passed back to the owner component for validation. Components then perform their own required checks (e.g. requiring new technology file system (NTFS), no double byte character sets (DBCS), etc.) and report errors if problems encountered. An Assign Accounts screen displays a list of required and optional accounts for selected components and sub components. Information entered here is passed back to the owner component for validation. Components then perform their own required checks (e.g. requiring new technology file system (NTFS), no double byte character sets (DBCS), etc.) and report errors if problems encountered as well.

**[0066]** An Installation Summary (Confirmation) screen displays selected components and sub components and the action to be performed for each. Only components with an action other than "No Action" will appear on this page. Finally, an Installation Progress screen displays installation progress for selected components/sub components. Two default templates provided in a preferred embodiment, one with a component progress bar and overall installation progress bar (standard Wizard size), and another with a component progress bar only (small).

**[0067]** In a preferred embodiment of the instant invention, the following finish pages (Wizard style) are provided as a default for those suites/applications that want to use them after the install stage of installation has completed. Any or all of these pages can be

suppressed. The finish page, when specified, must be the last page in the sequence. An Installation Errors screen displays a list of components/sub components installed, whether the installation was successful, and, if not successful, why. The Finish screen displays text indicating that the installation is complete. This screen may indicate whether any post-reboot/post-installation steps are needed to be taken, and may contain pointers to program groups, documentation, etc.

[0068] As the above description makes clear, an aspect of the instant invention is the ability to completely rewrite the custom UI model. Since SIT uses COM interfaces for all of it's operations, the UI Manager 91 is included in the list of managers supported by SIT. The interface (ISetupUI) is designed to support different phases of setup. In a preferred embodiment, the installation phases include Startup, Scenario Selection, Scenario Sequence, and Finish. This split between phases of setup allow any model of UI to be represented within the scope of SIT. For example, a wizard could be divided as follows. (each indented item below is a different page in the wizard):

#### STARTUP

- Welcome screen
- EULA
- Name, organization and PID

#### SCENARIO SELECTION

- Location of suite files
- A list of the scenarios

#### SCENARIO SEQUENCE.

- Component selection
- Data directories and accounts
- Component specific pages
- Summary
- Progress

## FINISH

Finish page

[0069] If a product wanted to use a web-based interface, it could be broken up into, preferably, three specific web pages as follows:

## STARTUP

Welcome text, EULA, name, organization and PID

## SCENARIO SELECTION / SCENARIO SEQUENCE

Selection of type of install and components to install

## FINISH

Progress and finish information

[0070] Another aspect of the instant invention is the ability to extend the existing / sample UI model to meet specific needs of the suite owner. The sample UI that is

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provided with a preferred embodiment of the SIT of the instant invention is in the wizard format, and is driven by several sections in the setup.sdb file. Wizards are a type of property sheet that provides a simple and powerful way to guide users through complex procedures, and are one of the keys to simplifying the user experience. They allow a suite owner to take a complex operation, such as installation and configuration of a suite of applications, and break it into a series of simple steps. At each point in the process, the installation wizard can provide an explanation of what is needed, and display controls that allow the user to make selections and enter text. In terms of implementation, a wizard is actually a type of property sheet. A property sheet is essentially a container for a collection of pages, where each page is a separate dialog box. A standard property sheet displays the pages as if they were stacked one on top of the other. Each page has a tab at

the top, and users select a page by clicking its tab. They then interact with the page as they would with a regular dialog box.

**[0071]** Wizards present pages one at a time. Instead of tabs, there are Next 204 and Back 206 buttons located at the bottom of the wizard as illustrated in Figure 6. Users click these buttons 204, 206 to navigate forward or backward through a sequence of pages. Implementing a wizard is similar to implementing a regular property sheet. At the most basic level, it is simply a matter of setting the appropriate style flag. To implement the individual pages, the same dialog box programming techniques used for property sheets are used.

**[0072]** To implement a wizard for the setup UI in accordance with the instant invention, the suit owner creates a dialog box template for each page. The actual pages are defined by creating a PROPSHEETPAGE structure 208 for each page as will be discussed in greater detail below. This structure 208 defines the page, and contains pointers to the dialog box template and any bitmaps or other resources. The PROPSHEETPAGE structure created in the previous step is then passed to CreatePropertySheetPage to create the page's HPROPSHEETPAGE handle. The wizard is then defined by creating a PROPSHEETHEADER structure 210 for it, which is then passed to the PropertySheet to display the wizard 212.

**[0073]** There are two basic types of wizard page: exterior and interior. The exterior pages are the Welcome 200 and Finish pages. The other pages in the wizard are interior pages, such as page 212. The exterior pages come at the beginning and end of the installation and setup sequence of the instant invention, respectively, and their basic layout is nearly identical.



[0074] As Figure 5 illustrates, the dialog box 214 fills all of the Window 200, except for the caption 216 and the band 218 at the bottom that contains the Back 206, Next 204, and Cancel 220 buttons. The main difference between the two types of exterior page is the wizard buttons and the text in the static controls. The Welcome page 200 preferably has a Next 204 and a Back 206 button, with only the Next button 204 enabled. The Finish page has the Back button enabled, and the Next button is replaced by a Finish button.

[0075] Interior pages have a somewhat different appearance than exterior pages as illustrated by the exemplary page 212 of FIG. 6. The header area 210 at the top of the page 212 is handled by the property sheet, so it is not included in the template 208. The contents of the header 210 are specified in the page's PROPSHEETPAGE structure and the wizard's PROPSHEETHEADER structure. The Win32 structure

PROPSHEETHEADER contains information about the window owner of the wizard, the location of the module that owns the wizard, the caption to be shown at the top of the wizard, a list of pages to be shown in the wizard, and the bitmaps to show on the welcome and mainline pages. The structure is defined in Win32 as:

```
typedef struct _PROPSHEETHEADER {
    DWORD dwSize;
    DWORD dwFlags;
    HWND hwndParent;
    HINSTANCE hInstance;
    union
    {
        {
            HICON hIcon;
            LPCTSTR pszIcon;
        };
        LPCTSTR pszCaption;
        UINT nPages;
        union
        {
            {
                UINT nStartPage;
                LPCTSTR pStartPage;
            };
        };
        union
        {
            {
                LPCPROPSHEETPAGE ppsp;
                HPROPSHEETPAGE FAR *phpage;
            };
        };
        PFNPROPSHEETCALLBACK pfnCallback;
    };
#ifdef _WIN32_IE_5_0
    union
    {
        {
            HBITMAP hbmWatermark;
        };
    };
#endif
};
```

```

        LPCTSTR pszbmWatermark;
    };
    HPALETTE hplWatermark;
    union
    {
        HBITMAP hbmHeader;
        LPCSTR pszbmHeader;
    };
#endif
} PROPSHEETHEADER, FAR *LPPROPSHEETHEADER;

```

[0076] Because the interior pages' template 208 needs to fit between the header 210 and the buttons 204, 206, 220, it is somewhat smaller than the template 214 for exterior pages 200. This part of the wizard is contained in the Win32 structure PROPSHEETPAGE as indicated above. This structure contains information identifying the resources from which to pull the controls, the title to be shown at the top of the wizard (if different from the main wizard), and the header and sub-header text to be shown at the top of the page. The structure is defined in Win32 as:

---

```

typedef struct _PROPSHEETPAGE {
    DWORD dwSize;
    DWORD dwFlags;
    HINSTANCE hInstance;
    union
    {
        LPCSTR pszTemplate;
        LPCDLGTEMPLATE pResource;
    };
    union
    {
        {
            HICON hIcon;
            LPCSTR pszIcon;
        };
        LPCSTR pszTitle;
        DLGPROC pfnDlgProc;
        LPARAM lParam;
        LPFNPSPCALLBACK pfnCallback;
        UINT FAR * pcRefParent;
    };
#ifdef (_WIN32_IE >= 0x0500)
    LPCTSTR pszHeaderTitle;
    LPCTSTR pszHeaderSubTitle;
#endif
} PROPSHEETPAGE, FAR *LPPROPSHEETPAGE;

```

[0077] Aside from rearranging the order of the pages, as will be discussed in greater detail below, pages could be inserted in the order without affecting the sample UI simply by inserting the UI page into the setup.sdb file. Below is an example of a segment of a

sample UI, and how it could be modified to include other pages from a suite owner who wants to extend the UI.

*sample UI:*

```
(welcome sequence)
welcome
license
nameorg
```

*modified UI:*

```
(welcome sequence)
welcome
license
suiteui.dll-procedure
nameorg
```

**[0078]** The system of the instant invention also includes the ability to rearrange the existing / sample UI without modifying any code by simple text file modification of the setup.sdb file. In other setup applications, changing the flow of UI usually means changing code or a pre-compiled script. Since the SIT sample model of UI is driven entirely from a text file, modifications to the order of the sample UI can be made with a simple text editor. An example of this rearrangement in accordance with the instant invention, the below illustrates a simple text file modification of the setup.sdb file to suppress the autologon and ask for name/org before the licence(EULA):

*sample UI:*

```
(welcome sequence)
welcome
license
nameorg
autologon
```

*UI after modification:*

```
(welcome sequence)
welcome
nameorg
```

license

[0079] A further aspect of the instant invention is the ability to easily reuse existing UI pages from a different SIT suite. Since the wizard format is a standard in Windows, the structure of a wizard page is well known. The implementation of the UI in accordance with a preferred embodiment allows the pages to be exported from a DLL via a specified function. This allows the UI to either be tied in with the component dll, or written in a separate DLL. Writing the UI in a separate DLL allows the UI to be contained without a tie to the component, so that if the component needs a major change the UI can stay the same.

[0080] All of the references cited herein, including patents, patent applications, and publications, are hereby incorporated in their entireties by reference.

[0081] In view of the many possible embodiments to which the principles of this invention may be applied, it should be recognized that the embodiment described herein with respect to the drawing figures is meant to be illustrative only and should not be taken as limiting the scope of invention. For example, those of skill in the art will recognize that the elements of the illustrated embodiment shown in software may be implemented in hardware and vice versa or that the illustrated embodiment can be modified in arrangement and detail without departing from the spirit of the invention. Therefore, the invention as described herein contemplates all such embodiments as may come within the scope of the following claims and equivalents thereof.